

#6 83-02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 5267	
In Re Application Of: John Hendricks, et al.				
Serial No. 09/289,957	Filing Date April 13, 1999	Examiner N/A		Group Art Unit 3761
Title: Electronic Book Alternative Delivery Systems				
<div style="text-align: right;"><b>RECEIVED</b> AUG 26 2002</div>				
<div style="text-align: center;">Address to: Assistant Commissioner for Patents Washington, D.C. 20231</div> <div style="text-align: right;">Technology Center 2800</div>				
<div style="text-align: center;"><b>37 CFR 1.97(b)</b></div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center;"><b>37 CFR 1.97(c)</b></div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

**RECEIVED**  
AUG 21 2002  
TC 3700 MAIL ROOM

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5267

In Re Application: John Hendricks, et al.

Serial No.  
09/289,957

Filing Date  
April 13, 1999

Examiner  
N/A

Group Art Unit  
3761

Electronic Book Alternative Delivery Systems

**RECEIVED**

AUG 26 2002

**Payment of Fee**

**Technology Center 2600**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
\_\_\_\_\_  
Signature

Dated: August 20, 2002

Sung Kim  
Reg. No. 45,398  
DORSEY & WHITNEY LLP  
1660 International Drive  
Suite 400  
McLean, VA 22102  
(703) 288-5240  
(703) 288-5260 facsimile

CC:

**RECEIVED**  
AUG 21 2002  
TC 3700 MAIL ROOM

<b>01 INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. 5267		SERIAL NO. 09/289,957	
				John Hendricks, et al.			
				FILING April 13, 1999		GROUP 3761	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,860,379	8/22/1989	Schoeneberger, et al.	725	24	
	2.	5,057,917	10/15/1991	Shalkauser, et al.	375	240.12	
	3.	5,253,275	10/12/1993	Yurt, et al.	375	240	
	4.	5,283,639	2/1/1994	Esch, et al.	725	32	
	5.	5,345,594	9/6/1994	Tsuda	455	18	
	6.	5,132,789	7/21/1992	Ammon, et al.	725	146	
	7.	4,361,848	11/30/1982	Poigner, et al.	348	468	
	8.	5,036,394	7/30/1991	Morii, et al.	348	468	
	9.	4,639,225	1/27/1987	Washizuka	434	308	
	10.	5,132,992	7/21/1997	Yurt, et al.	375	240	
	11.	4,517,598	5/14/1985	Van Valkenburt, et al.	348	478	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	EP0472070A2	2/26/1992	Europe			
	2.	EP0355697	2/28/1990	Europe			
	3.	EP0646856A2	4/5/1995	Europe			
	4.	WO 97/22110	6/19/1997	WIPO			
	5.	WO 92/12599	7/23/1992	WIPO			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	1.	Dinaro, et al.; Markets and Products Overview; 1991; Pages 135-148					
	2.	Hartley, et al.; Enhancing Teaching Using the Internet; Feb. 1996; Pages 218-228					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>5267</b>		SERIAL NO. <b>09/289,957</b>	
				John Hendricks, et al.			
				FILING <b>April 13, 1999</b>		GROUP <b>3761</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	12.	DOCUMENT NUMBER <b>4,985,697</b>	DATE <b>1/15/1991</b>	NAME <b>Boulton</b>	CLASS <b>707</b>	SUBCLASS <b>500.1</b>	FILING DATE IF APPROPRIATE
	13.	<b>4,855,725</b>	<b>8/8/1989</b>	<b>Fernandez</b>	<b>345</b>	<b>173</b>	<b>RECEIVED</b> <b>AUG 26 2002</b> <b>Technology Center 2600</b>
	14.	<b>6,052,717</b>	<b>4/18/2000</b>	<b>Reynolds, et al.</b>	<b>709</b>	<b>218</b>	
	15.	<b>6,034,680</b>	<b>3/7/2000</b>	<b>Kessenich, et al.</b>	<b>345</b>	<b>733</b>	
	16.	<b>5,761,485</b>	<b>6/2/1998</b>	<b>Munyan</b>	<b>345</b>	<b>839</b>	
	17.	<b>5,986,690</b>	<b>11/16/1999</b>	<b>Hendricks</b>	<b>725</b>	<b>60</b>	
	18.	<b>5,528,281</b>	<b>6/18/1996</b>	<b>Grady, et al.</b>	<b>725</b>	<b>93</b>	<b>RECEIVED</b> <b>AUG 21 2001</b> <b>TC 3700 MAIL ROOM</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	6.	<b>WO 90/10988</b>	<b>9/20/1990</b>	<b>WIPO</b>			
	7.	<b>WO 98/18086</b>	<b>4/30/1998</b>	<b>WIPO</b>			
	8.	<b>WO 98/08344</b>	<b>2/26/1998</b>	<b>WIPO</b>			
	9.	<b>WO 01/18665A1</b>	<b>3/15/2001</b>	<b>WIPO</b>			
	10.	<b>WO 95/15649</b>	<b>6/8/1995</b>	<b>WIPO</b>			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	3.	<b>Bieber, et al.; Fourth Generation Hypermedia: some missing links for the World Wide Web; July 1997; Pages 31-65</b>					
	4.	<b>Bogdan Czejdo; Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems; February 1990; Pages 227-236</b>					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. 5267		SERIAL NO. 09/289,957	
				John Hendricks, et al.			
				FILING April 13, 1999		GROUP 3761	

OIP  
AUG 20 2002

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

RECEIVED  
AUG 26 2002  
Technology Center 2600  
T: 3700 MAIL ROOM  
AUG 2 2002  
RECEIVED

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 99/44144	9/2/1999	WIPO				
	12.	WO 97/20274	6/5/1997	WIPO				
	13.	EP0810534A2	12/3/1997	Europe				
	14.	EP0420123A2	4/3/1991	Europe				
	15.	WO 91/00670	/1991	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
		5.	Speech Technology; Electronic Dictionary Pronounces Over 83,000 Words; April 1989; Pages 78-79
		6.	Pasquier-Boluck, et al.; Prototyping an Interactive Electronic Book System Using an Object-Oriented Approach; Aug. 1988; Pages 177-190

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<div style="text-align: center;"> <b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i> </div>	ATTY DOCKET NO. <b>5267</b>	SERIAL NO. <b>09/289,957</b>
	John Hendricks, et al.	
	FILING <b>April 13, 1999</b>	GROUP <b>3761</b>

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
						<div style="text-align: center;"> <b>RECEIVED</b>  <b>AUG 26 2002</b>  <b>Technology Center 2600</b>  <b>TC 3700 MAIL ROOM</b> </div>	
						<div style="text-align: center;"> <b>RECEIVED</b>  <b>AUG 21 2002</b> </div>	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
		9.	Yankelovich, et al.; Reading and Writing the Electronic Book; Oct. 1985; Pages 15-30

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.